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Zeta functions of digraphs

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Abstract

We define a zeta function of a digraph and an L -function of a symmetric digraph, and give determinant expressions of them. Furthermore, we give a decomposition formula for the zeta function of a g -cyclic Γ -cover of a symmetric digraph for any finite group Γ and $g \in \Gamma$. © 2001 Elsevier Science Inc. All rights reserved.

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1. Introduction

Graphs and digraphs treated here are finite and simple. Let $G = (V(G), E(G))$ be a connected graph with vertex $V(G)$ and arc set $E(G)$, and D the symmetric digraph corresponding to G . Note that $E(G) = E(D)$. For $e = (u, v) \in E(G)$, let $o(e) = u$ and $t(e) = v$. The inverse arc of e is denoted by \bar{e} . A path P of length n in D (or G) is a sequence $P = (v_0, v_1, \dots, v_{n-1}, v_n)$ of $n + 1$ vertices and n arcs (or edges) such that consecutive vertices share an arc (or edge) (we do not require that all vertices are distinct). Also, P is called a (v_0, v_n) -path. We say that a path has a *backtracking* if a subsequence of the form \dots, x, y, x, \dots appears. A (v, w) -path is called a *cycle* (or *closed path*) if $v = w$.

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We introduce an equivalence relation between cycles. Such two cycles $C_1 = (v_1, \dots, v_m)$ and $C_2 = (w_1, \dots, w_m)$ are called *equivalent* if $w_j = v_{j+k}$ for all j . Let $[C]$ be the equivalence class which contains a cycle C . Let B^r be the cycle obtained by going r times around a cycle B . Such a cycle is called a *multiple* of B . A cycle C is said to be *reduced* if both C and C^2 have no backtracking. A cycle C is *prime* if $C \neq B^r$ for some other cycle B and $r \geq 2$.

The (Ihara) zeta function of a graph G is defined to be a formal power series of a variable u , by

$$\mathbf{Z}(G, u) = \mathbf{Z}_G(u) = \prod_{[C]} (1 - u^{|C|})^{-1},$$

where $[C]$ runs over all equivalence classes of prime, reduced cycles of G , and $|C|$ is the length of C (see [13]).

Zeta functions of graphs started from zeta functions of regular graphs by Ihara [8]. In [8], he showed that their reciprocals are explicit polynomials. A zeta function of a regular graph G associated to a unitary representation of the fundamental group of G was developed by Sunada [15,16]. Hashimoto [7] treated multivariable zeta functions of bipartite graphs. Bass [2] generalized the Ihara’s result on the zeta function of a regular graph to an irregular graph, and showed that its reciprocal is a polynomial.

Theorem 1 (Bass [2]). *Let G be a connected graph. Then the reciprocal of the zeta function of G is given by*

$$\mathbf{Z}(G, u)^{-1} = (1 - u^2)^{r-1} \det(\mathbf{I} - u\mathbf{A}(G) + u^2(\mathbf{D} - \mathbf{I})),$$

where r and $\mathbf{A}(G)$ is the Betti number and the adjacency matrix of G , respectively, and $\mathbf{D} = (d_{ij})$ is the diagonal matrix with $d_{ii} = \deg v_i (V(G) = \{v_1, \dots, v_n\})$.

Stark and Terras [14] gave an elementary proof of Theorem 1, and discussed three different zeta functions of any graph. Furthermore, various proofs of Bass’s Theorem were given by Foata and Zeilberger [5], Kotani and Sunada [10]. Mizuno and Sato [12] obtained a decomposition formula for the zeta function of a regular covering of a graph.

Foata and Zeilberger [5] gave a new proof of Bass’s Theorem by using the algebra of Lyndon words. Let X be a finite nonempty set, $<$ a totally order in X , and X^* the free monoid generated by X . Then the totally order $<$ on X derive the lexicographic order $<$ on X^* . A *Lyndon word* in X is defined to a nonempty word in X^* which is prime, i.e., not the power l^r of any other word l for any $r \geq 2$, and which is also minimal in the class of its cyclic rearrangements under $<$ (see [9]). Let L denote the set of all Lyndon words in X .

Let \mathbf{B} be a square matrix whose entries $b(x, x') (x, x' \in X)$ form a set of commuting variables. If $w = x_1x_2 \cdots x_m$ is a word in X^* , define

$$\beta(w) = b(x_1, x_2)b(x_2, x_3) \dots b(x_{m-1}, x_m)b(x_m, x_1).$$

Furthermore, let

$$\beta(L) = \prod_{l \in L} (1 - \beta(l)).$$

The following theorem played a central role in [5].

Theorem 2 (Foata and Zeilberger [5]). $\beta(L) = \det(\mathbf{I} - \mathbf{B})$.

Foata and Zeilberger[5] gave a short proof of Amitsur’s identity [1] by using Theorem 2.

Theorem 3 (Amitsur [1]). For square matrices $\mathbf{A}_1, \dots, \mathbf{A}_k$,

$$\det(\mathbf{I} - (\mathbf{A}_1 + \dots + \mathbf{A}_k)) = \prod_{l \in L} \det(\mathbf{I} - \mathbf{A}_l),$$

where the product runs over all Lyndon words in $\{1, \dots, k\}$, and $\mathbf{A}_l = \mathbf{A}_{i_1} \cdots \mathbf{A}_{i_p}$ for $l = i_1 \cdots i_p$.

In Section 2, we define a zeta function of a digraph, and give a determinant expression and an Euler product expression of it. In Section 3, we give a decomposition formula for the zeta function of a g -cyclic Γ -cover of a symmetric digraph for any finite group Γ and $g \in \Gamma$. In Section 4, we introduce an L -function of a symmetric digraph D , and express it by using the characteristic polynomial of some matrix. Furthermore, we show that the zeta function of D is a product of L -functions of D .

For a general theory of the representation of groups, the reader is referred to [3].

2. Zeta functions of digraphs

Let D be a connected digraph, and N_m the number of all cycles with length m in D . Then, the *zeta function* of a digraph D is defined to be a formal power series of a variable u , by

$$\mathbf{Z}_D(u) = \exp \left(\sum_{m \geq 1} \frac{N_m}{m} u^m \right).$$

Let D have n vertices v_1, \dots, v_n . The *adjacency matrix* $\mathbf{A} = \mathbf{A}(D) = (a_{ij})$ of D is the square matrix of order n such that $a_{ij} = 1$ if there exists an arc starting at the vertex v_i and terminating at the vertex v_j , and $a_{ij} = 0$ otherwise.

Theorem 4. Let D be a connected digraph. Then the reciprocal of the zeta function of D is given by

$$\mathbf{Z}_D(u)^{-1} = \det(\mathbf{I} - \mathbf{A}(D)u) = \prod_{[C]} (1 - u^{|C|}),$$

where $[C]$ runs over all equivalence classes of prime cycles of D .

Proof. Let $V(D) = \{v_1, \dots, v_n\}$ and $v_1 < v_2 < \dots < v_n$ a totally order of $V(D)$. We consider the free monoid $V(D)^*$ generated by $V(D)$, and the lexicographic order on $V(D)^*$ derived from $<$. If a cycle C is prime, then there exists a unique cycle in $[C]$ which is a Lyndon word in $V(D)$.

For $w \in V(D)^*$, let

$$\beta(w) = \begin{cases} u^{|w|} & \text{if } w \text{ is a prime cycle,} \\ 0 & \text{otherwise.} \end{cases}$$

Then we have

$$\beta(L) = \prod_{l \in L} (1 - \beta(l)) = \prod_{[C]} (1 - u^{|C|}),$$

where $[C]$ runs over all equivalence classes of prime cycles of D . Furthermore, we define variables $b(x, x')$ ($x, x' \in V(D)$) as follows:

$$b(x, x') = \begin{cases} u & \text{if } (x, x') \in E(D), \\ 0 & \text{otherwise.} \end{cases}$$

Theorem 2 implies that

$$\prod_{[C]} (1 - u^{|C|}) = \det(\mathbf{I} - \mathbf{B}) = \det(\mathbf{I} - u\mathbf{A}(D)).$$

Since $|[C]| = |C|$ and $N_m = \text{tr}(\mathbf{A}(D)^m)$ (see [4]), we have

$$\begin{aligned} \prod_{[C]} (1 - u^{|C|})^{-1} &= \exp\left(-\sum_{[C]} \log(1 - u^{|C|})\right) \\ &= \exp\left(\sum_{[C]} \sum_{m \geq 1} \frac{1}{m} u^{|C|m}\right) \\ &= \exp\left(\sum_{m \geq 1} \sum_C \frac{1}{|C|m} u^{|C|m}\right) \\ &= \exp\left(\sum_{m \geq 1} \frac{N_m}{m} u^m\right). \end{aligned}$$

Therefore the result follows. \square

The formula $\det(\mathbf{I} - \mathbf{A}(D)u) = \prod_{[C]} (1 - u^{|C|})$ is also a specialization of Theorem 3.

Recently, Kotani and Sunada [10] treated zeta functions of strongly connected digraphs. In [10], they stated a connection between zeta functions of graphs and that of strongly connected digraphs, and gave a new proof of Bass’s Theorem by using the connection. Let $G = (V, E)$ be a connected non-circuit graph. Then the *oriented line graph* $L(\vec{G}) = (V_L, E_L)$ of G is defined as follows:

$$V_L = E; E_L = \{(e_1, e_2) \in E \times E \mid \bar{e}_1 \neq e_2, t(e_1) = o(e_2)\}.$$

There exist no reduced cycles in the oriented line graph. Thus, there is a one-to-one correspondence between prime cycles in $L(\vec{G})$ and prime, reduced cycles in G , and so $\mathbf{Z}_G(u) = \mathbf{Z}_{L(\vec{G})}(u)$. Furthermore, this is obtained from Theorem II.1.5 of Bass [2].

3. Zeta functions of cyclic Γ -covers

Let D be a symmetric digraph and Γ a finite group. A function $\alpha : E(D) \rightarrow \Gamma$ is called *alternating* if $\alpha(y, x) = \alpha(x, y)^{-1}$ for each $(x, y) \in E(D)$. For $g \in \Gamma$, a g -cyclic Γ -cover $D_g(\alpha)$ of D is the digraph defined as follows (see [11]):

$$V(D_g(\alpha)) = V(D) \times \Gamma,$$

and

$$((v, h), (w, k)) \in E(D_g(\alpha))$$

$$\text{if and only if } (v, w) \in E(D) \text{ and } k^{-1}h\alpha(v, w) = g.$$

The *natural projection* $\pi : D_g(\alpha) \rightarrow D$ is a function from $V(D_g(\alpha))$ onto $V(D)$ which erases the second coordinates. A digraph D' is called a *cyclic Γ -cover* of D if D' is a g -cyclic Γ -cover of D for some $g \in \Gamma$.

Let G be a graph and Γ a finite group. Then a mapping $\alpha : E(G) \rightarrow \Gamma$ is called an *ordinary voltage assignment* if $\alpha(v, u) = \alpha(u, v)^{-1}$ for each $(u, v) \in E(G)$. The pair (G, α) is called an *ordinary voltage graph*. The *derived graph* G^α of the ordinary voltage graph (G, α) is defined as follows (see [6]):

$$V(G^\alpha) = V(G) \times \Gamma$$

and

$$((v, h), (w, k)) \in E(G^\alpha)$$

$$\text{if and only if } (v, w) \in E(G) \text{ and } k = h\alpha(v, w).$$

Similarly to the case of a cyclic Γ -cover of a symmetric digraph, the *natural projection* $\pi : G^\alpha \rightarrow G$ is defined. The graph G^α is called a *derived graph covering* of G with voltages in Γ or an Γ -covering of G . The pair (D, α) of D and α can be considered as the ordinary voltage graph (\vec{D}, α) of the underlying graph \vec{D} of D . Thus the 1-cyclic Γ -cover $D_1(\alpha)$ corresponds to the Γ -covering \vec{D}^α , where 1 is the unit of Γ .

Now, we give an example. Let D be the symmetric digraph of Fig. 1 and $\Gamma = Z_3 = \{0, 1, -1\}$ (the additive group). Furthermore, let $\alpha : E(D) \rightarrow Z_3$ be the alternating function such that $\alpha(1, 2) = 0$, $\alpha(2, 3) = 1$ and $\alpha(3, 1) = -1$. Then the 1-cyclic Z_3 -cover $D_1(\alpha)$ is shown in Fig. 2.

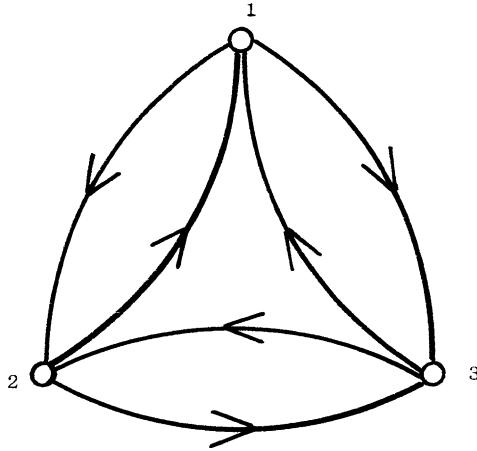


Fig. 1. A symmetric digraph.

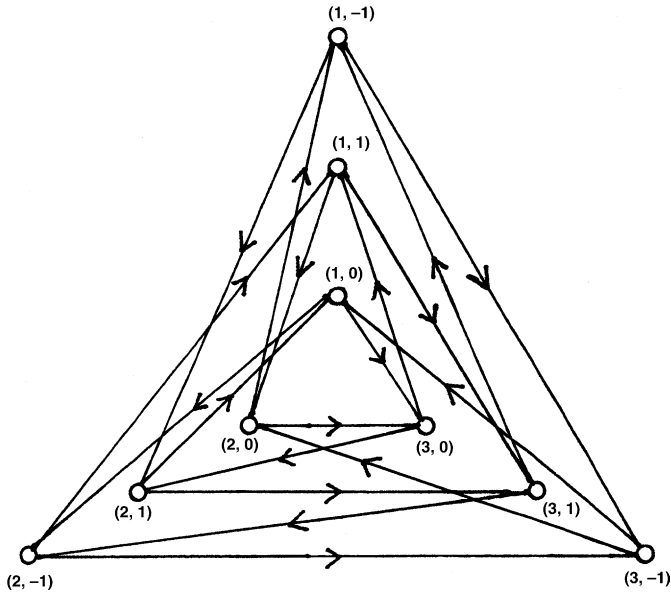


Fig. 2. The 1-cyclic Z_3 -cover $D_1(\alpha)$.

The characteristic polynomial $\Phi(D; \lambda)$ of a digraph D is defined by $\Phi(D; \lambda) = \det(\lambda \mathbf{I} - \mathbf{A}(D))$. For a square matrix \mathbf{B} , we define $\Phi(\mathbf{B}; \lambda) = \det(\lambda \mathbf{I} - \mathbf{B})$. The Kronecker product $\mathbf{A} \otimes \mathbf{B}$ of matrices \mathbf{A} and \mathbf{B} is considered as the matrix \mathbf{A} having the element a_{ij} replaced by the matrix $a_{ij}\mathbf{B}$.

Theorem 5. Let D be a connected symmetric digraph, Γ a finite group, $g \in \Gamma$ and $\alpha : E(D) \rightarrow \mathbf{A}$ an alternating function. Furthermore, let $\rho_1 = 1, \rho_2, \dots, \rho_t$ be the

irreducible representations of Γ , and f_i the degree of ρ_i for each i , where $f_1 = 1$. For $h \in \Gamma$, the matrix $\mathbf{A}_h = (a_{vw}^{(h)})$ is defined as follows:

$$a_{vw}^{(h)} = \begin{cases} 1 & \text{if } \alpha(v, w) = h \text{ and } (v, w) \in E(D), \\ 0 & \text{otherwise.} \end{cases}$$

Then the reciprocal of the zeta function of the g -cyclic Γ -cover $D_g(\alpha)$ of D is

$$\begin{aligned} \mathbf{Z}_{D_g(\alpha)}(u)^{-1} &= \det(\mathbf{I} - \mathbf{A}(D)u) \cdot \prod_{i=2}^t \left\{ \det \left(\mathbf{I} - u \sum_h \rho_i(h) \otimes \mathbf{A}_{hg} \right) \right\}^{f_i} \\ &= u^{n|\Gamma|} \Phi \left(D; \frac{1}{u} \right) \cdot \prod_{i=2}^t \left\{ \Phi \left(\sum_h \rho_i(h) \otimes \mathbf{A}_{hg}; \frac{1}{u} \right) \right\}^{f_i}. \end{aligned}$$

Proof. By Theorem 4, we have

$$\mathbf{Z}_{D_g(\alpha)}(u)^{-1} = \det(\mathbf{I} - \mathbf{A}(D_g(\alpha))u) = u^{n|\Gamma|} \Phi \left(\mathbf{A}(D_g(\alpha)); \frac{1}{u} \right).$$

By [11, Theorem 1], it follows that

$$\mathbf{Z}_{D_g(\alpha)}(u)^{-1} = u^{n|\Gamma|} \Phi \left(D; \frac{1}{u} \right) \cdot \prod_{i=2}^t \left\{ \Phi \left(\sum_h \rho_i(h) \otimes \mathbf{A}_{hg}; \frac{1}{u} \right) \right\}^{f_i}. \quad \square$$

Corollary 1. $\mathbf{Z}_D(u)^{-1} \mid \mathbf{Z}_{D_g(\alpha)}(u)^{-1}$.

For a finite abelian group Γ , let Γ^* be the character group of Γ .

Corollary 2. Let D be a connected symmetric digraph with n vertices, Γ a finite abelian group and $\alpha : E(D) \rightarrow \Gamma$ an alternating function. Then the reciprocal of the zeta function of the g -cyclic Γ -cover $D_g(\alpha)$ of D is

$$\mathbf{Z}_{D_g(\alpha)}(u)^{-1} = u^{n|A|} \Phi \left(D; \frac{1}{u} \right) \cdot \prod_{\chi \neq 1 \in \Gamma^*} \Phi \left(\sum_h \chi(h) \mathbf{A}_{hg}; \frac{1}{u} \right).$$

Proof. Each irreducible representation of Γ is a linear representation, and these constitute the character group Γ^* . By Theorem 5, the result follows. \square

For example, we consider the 1-cyclic Z_3 -cover $D_1(\alpha)$ of Fig. 2. By Corollary 2, we have

$$\begin{aligned} \mathbf{Z}_{D_1(\alpha)}(u)^{-1} &= u^9(1/u^3 - 3/u - 2)(1/u^3 - 3\zeta/u - 2)(1/u^3 - 3\zeta^2/u - 2) \\ &= u^9(1/u^3 - 3/u - 2)(1/u^6 + 3/u^4 - 4/u^3 + 9/u^2 - 6/u + 4) \\ &= 1 - 6u^3 - 15u^6 - 8u^9, \end{aligned}$$

where $\zeta = (-1 + \sqrt{-3})/2$. Thus

$$\begin{aligned} \log \mathbf{Z}_{D_1(\alpha)}(u) &= -\log(1 - u^3(6 + 15u^3 + 8u^6)) \\ &= 6u^3 + 33u^6 + 170u^9 + \dots \end{aligned}$$

Some values of N_m are given as follows:

$$N_3 = 18, N_6 = 198, N_9 = 1530, \dots \text{ and } N_m = 0 \ (m \not\equiv 0 \pmod{3}).$$

4. L-functions of symmetric digraphs

Let D be a connected symmetric digraph, Γ a finite group and $\alpha : E(D) \rightarrow \Gamma$ an alternating function. Furthermore, let ρ be an irreducible representation of Γ and $g \in \Gamma$. Then we define the function $\alpha_g : E(D) \rightarrow \Gamma$ as follows: $\alpha_g(v, w) = \alpha(v, w)g^{-1}$, $(v, w) \in E(D)$. For each path $P = (v_1, \dots, v_l)$ of D , let $\alpha_g(P) = \alpha(v_1, v_2)g^{-1} \cdots \alpha(v_{l-1}, v_l)g^{-1}$.

For $m \geq 1$, let \mathcal{C}_m be the set of all cycles of length m in D . Set

$$N_m = \sum_{C \in \mathcal{C}_m} \text{tr}(\rho(\alpha_g(C))).$$

Then, the L -function of D associated to ρ, α and g is defined by

$$\mathbf{Z}_D(u, \rho, \alpha, g) = \exp \left(\sum_{m \geq 1} \frac{N_m}{m} u^m \right).$$

Let $1 \leq i, j \leq n$. Then, the (i, j) -block $\mathbf{B}_{i,j}$ of an $fn \times fn$ matrix \mathbf{B} is the submatrix of \mathbf{B} consisting of $f(i - 1) + 1, \dots, fi$ rows and $f(j - 1) + 1, \dots, fj$ columns.

Theorem 6. *Let D be a connected symmetric digraph with n vertices, Γ a finite group and $\alpha : E(D) \rightarrow \Gamma$ an alternating function. Furthermore, let ρ be an irreducible representation of Γ , and f the degree of ρ . Then the reciprocal of the L -function of D associated to ρ, α and g is*

$$\begin{aligned} \mathbf{Z}_D(u, \rho, \alpha, g)^{-1} &= \prod_{[C]} \det(\mathbf{I} - \rho(\alpha_g(C))u^{|C|}) \\ &= \det \left(\mathbf{I} - u \sum_{h \in \Gamma} \rho(h) \otimes \mathbf{A}_{hg} \right). \end{aligned}$$

Proof. At first, let

$$\eta(u) = \prod_{[C]} \det(\mathbf{I} - \rho(\alpha_g(C))u^{|C|}),$$

where $[C]$ runs over all equivalence classes of prime cycles of D . By the Jacobi formula $\det \exp \mathbf{A} = \exp \operatorname{tr} \mathbf{A}$, we have

$$\begin{aligned} \eta(u)^{-1} &= \prod_{[C]} \det \exp \left\{ -\log(\mathbf{I} - \rho(\alpha_g(C))u^{|C|}) \right\} \\ &= \prod_{[C]} \exp \operatorname{tr} \left(\sum_{m \geq 1} \frac{1}{m} \rho(\alpha_g(C^m))u^{m|C|} \right) \\ &= \exp \left(\sum_{[C]} \sum_{m \geq 1} \frac{1}{m} \operatorname{tr}(\rho(\alpha_g(C^m)))u^{m|C|} \right) \\ &= \exp \left(\sum_{m \geq 1} \sum_C \frac{1}{m|C|} \operatorname{tr}(\rho(\alpha_g(C^m)))u^{m|C|} \right) \\ &= \exp \left(\sum_{m \geq 1} \frac{1}{m} N_m u^m \right) = \mathbf{Z}_D(u, \rho, \alpha, g). \end{aligned}$$

Next, let $V(D) = \{v_1, \dots, v_n\}$ and consider the lexicographic order on $V(D) \times V(D)$ derived from a totally order of $V(D)$: $v_1 < v_2 < \dots < v_n$. If (v_i, v_j) is the m -th pair under the above order, then we define the $fn \times fn$ matrix $\mathbf{A}_m = ((\mathbf{A}_m)_{p,q})_{1 \leq p, q \leq n}$ as follows:

$$(\mathbf{A}_m)_{p,q} = \begin{cases} \rho(\alpha_g(v_p, v_q))u & \text{if } p = i, q = j \text{ and } (v_i, v_j) \in E(D), \\ \mathbf{0} & \text{otherwise.} \end{cases}$$

Furthermore, let $\mathbf{B} = \mathbf{A}_1 + \dots + \mathbf{A}_k$, $k = n^2$. Then we have

$$\mathbf{B} = u \sum_h \mathbf{A}_{hg} \otimes \rho(h).$$

Let L be the set of all Lyndon words in $V(D) \times V(D)$. Then we can also consider L as the set of all Lyndon words in $\{1, \dots, k\}$: $(v_{i_1}, v_{j_1}), \dots, (v_{i_q}, v_{j_q})$ corresponds to $m_1 m_2, \dots, m_q$, where (v_{i_r}, v_{j_r}) ($1 \leq r \leq q$) is the m_r -th pair. Theorem 3 implies that

$$\det(\mathbf{I}_{nf} - \mathbf{B}) = \prod_{l \in L} \det(\mathbf{I} - \mathbf{A}_l),$$

where $\mathbf{A}_l = \mathbf{A}_{i_1}, \dots, \mathbf{A}_{i_p}$ for $l = i_1, \dots, i_p$. Note that $\det(\mathbf{I} - \mathbf{A}_l)$ is the alternating sum of the diagonal minors of \mathbf{A}_l . Thus, we have

$$\det(\mathbf{I} - \mathbf{A}_l) = \begin{cases} \det(\mathbf{I} - \rho(\alpha_g(C))u^{|C|}) & \text{if } l \text{ is a prime cycle } C, \\ 1 & \text{otherwise.} \end{cases}$$

Therefore, it follows that

$$\eta(u) = \det \left(\mathbf{I}_{nf} - u \sum_{h \in \Gamma} \mathbf{A}_{hg} \otimes \rho(h) \right) = \det \left(\mathbf{I}_{nf} - u \sum_{h \in \Gamma} \rho(h) \otimes \mathbf{A}_{hg} \right).$$

Hence the result is obtained. \square

By Theorems 5 and 6, the following result holds.

Corollary 3. *Let D be a connected symmetric digraph, Γ a finite group, $g \in \Gamma$ and $\alpha : E(D) \rightarrow \Gamma$ an alternating function. Then we have*

$$\mathbf{Z}_{D_g(\alpha)}(u) = \prod_{\rho} \mathbf{Z}_D(u, \rho, \alpha, g)^f,$$

where ρ runs over all irreducible representations of Γ , and $f = \deg \rho$.

By Theorem 6 and Corollary 2, the following result holds.

Corollary 4. *Let D be a connected symmetric digraph, Γ a finite abelian group, $g \in \Gamma$ and $\alpha : E(D) \rightarrow \Gamma$ an alternating function. Then we have*

$$\mathbf{Z}_{D_g(\alpha)}(u) = \prod_{\chi \in \Gamma^*} \mathbf{Z}_D(u, \chi, \alpha, g).$$

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